

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

Attorney's Docket Number

05725.0346-01

U.S. Application No. **097582825** *PCT*

International Application. No.

International Filing Date

Priority Date Claimed

PCT/US99/25984

November 5, 1999

November 5, 1998

Title of Invention:

NAIL COATING COMPOSITIONS CONTAINING MICROSPHERES

**534 Rec'd PCT/PTO 03 JUL 2000**

Applicant(s) For DO/EO/US:

Danuvio CARRION and Debra J. COLEMAN-NALLY

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. [ ] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. [ ] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. [ ] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [X] is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [X] has been transmitted by the International Bureau.
  - c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US).
6. [ ] A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. [ ] are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [ ] have been transmitted by the International Bureau.
  - c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [X] have not been made and will not be made.
8. [ ] A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. [ ] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. [ ] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. [ ] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. [ ] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. [ ] A FIRST preliminary amendment.  
[ ] A SECOND or SUBSEQUENT preliminary amendment.
14. [ ] A substitute specification.
15. [ ] A change of power of attorney and/or address letter.
16. [ ] Other items or information:
  - a. [ ] Verified Small Entity Statement.
  - b. [ ] Copy of Notification of Missing Requirements.

09/582825

PCT/US99/25984

05725 0346-01

534 Rec'd PCT/PT 03 JUL 2000

17. [X] The following fees are submitted:

CALCULATIONS

**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO.....\$840.00  
 International preliminary examination fee paid to  
 USPTO (37 CFR 1.482).....\$670.00  
 No international preliminary examination fee paid to  
 USPTO (37 CFR 1.482) but international search fee  
 paid to USPTO (37 CFR 1.445(a)(2)).....\$690.00  
 Neither international preliminary examination fee  
 (37 CFR 1.482) nor international search fee  
 (37 CFR 1.445(a)(2)) paid to USPTO.....\$970.00  
 International preliminary examination fee paid to USPTO  
 (37 CFR 1.482) and all claims satisfied provisions  
 of PCT Article 33(1)-(4).....\$ 96.00

**ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 840.00**

Surcharge of \$130.00 for furnishing the oath or declaration later than  
 [ ] 20 [ ] 30 months from the earliest claimed priority date  
 (37 CFR 1.492(e)). \$

Claims	Number Filed	Number Extra	Rate	
Total Claims	24 - 20 =	4	X \$18.00	\$ 72.00
Independent Claims	9 - 3 =	6	X \$78.00	\$ 468.00
Multiple dependent claim(s) (if applicable)			+\$260.00	\$

**TOTAL OF ABOVE CALCULATIONS = \$1,380.00**

Reduction by 1/2 for filing by small entity, if applicable. Verified  
 Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28) \$

**SUBTOTAL = \$1,380.00**

Processing fee of \$130.00 for furnishing the English translation later  
 than [ ] 20 [ ] 30 months from the earliest claimed priority date  
 (37 CFR 1.492(f)). \$

**TOTAL NATIONAL FEE = \$1,380.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The  
 assignment must be accompanied by an appropriate cover sheet  
 (37 CFR 3.28, 3.31). \$40.00 per property + \$

**TOTAL FEES ENCLOSED = \$1,380.00**

Amount to be

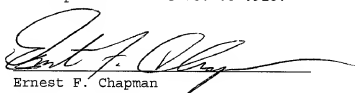
refunded \$

charged \$

- a. [X] A check in the amount of **\$1,380.00** to cover the above fees is enclosed.  
 b. [ ] Please charge my Deposit Account No. \_\_\_\_\_ in the amount of  
 \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is  
 enclosed.  
 c. [X] The Commissioner is hereby authorized to charge any additional fees  
 which may be required, or credit any overpayment to Deposit Account  
 No. 06-0916. A duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge any other fees due under 37 C.F.R. §1.16  
 or §1.17 during the pendency of this application to our Deposit Account No. 06-0916.

SEND ALL CORRESPONDENCE TO:  
 Finnegan, Henderson, Farabow  
 Garrett & Dunner, L.L.P.  
 1300 I Street, N.W.  
 Washington, D.C. 20005-3315  
 EFC/FPD/rgm

  
 Ernest F. Chapman  
 Reg. No. 25,961

Submitted: July 3, 2000

09/582825

534 Rec'd PCT/PT 03 JUL 2000

NAIL COATING COMPOSITIONS  
CONTAINING MICROSPHERES

This application is a continuation-in-part of pending U.S. Patent Application Serial No. 09/186,372, filed November 5, 1998.

The subject of this invention is a colorless or colored nail coating composition containing microspheres.

Nail coatings must have good adhesion to the nail surface and the film formed on the nail by such coatings must exhibit good flexibility and strength. Generally, the industry has used modified resins to confer adhesion and plasticizers to confer flexibility to the coating.

Another important attribute of a good nail coating is abrasion resistance. Thus, nail coatings, particularly long-wearing coatings, should have all of these characteristics: abrasion resistance, adhesion, flexibility and strength. The abrasion resistance is generally achieved by incorporating fibrous materials such as Kevlar™ (Aramid fibers) into the nail coating compositions to improve the durability of the coating. One such example is described in U.S. Patent 5,370,866, where aramid fibers are incorporated into conventional nail polish ingredients at a level of about 0.01% to 0.5%. This nail composition exhibits improved abrasion resistance characteristics and film strength. Other materials useful for achieving abrasion resistance may include sand, but sand is not uniform and has rough edges which tend to snag and catch on garments or even scratch the body.

Another desirable characteristic of a nail coating is its ability to impart texture to smooth nails or to level off rough nails by filling ridges in the nails. Attempts to improve both abrasion resistance and texture have included the use of talc in nail polish compositions. However the addition of talc negatively affects the rheology and stability of the final product due to the absorption characteristics of the talc.

Thus, there is a need for a nail coating composition which can resist abrasion while still providing the desired texture or smoothness, adhesion, flexibility, and strength.

It has been unexpectedly found by the inventors that the addition of microspheres to a nail coating composition can improve adhesion to the nail while

providing extended wear, improved mar or scuff (i.e., abrasion) resistance, and/or improved leveling and application of the nail coating. The use of microspheres can also give an appealing texture as well as a matte characteristic. Furthermore, the inventors have discovered that the addition of microspheres has little to no detrimental effect on the gloss of the resulting nail coating. In fact the nail coating compositions containing microspheres can actually enhance gloss. Also, there is no negative effect on the rheology or on the stability of the nail coating composition.

The use of microspheres has been described for a variety of applications. German patent DE19603196 describes microspheres of average diameter  $0.5\mu$  to  $1000\mu$  as a filler in, for example, alloys, dental fillings, cosmetics, pharmaceuticals and plastics. European patent application EP 235 914 describes compositions for producing textured cellulosic or plastic surfaces which include adhesion promoters and a texture-modifying amount of microspheres. While the resulting textured surfaces have low gloss, high surface hardness, and resistance to abrasion, it is necessary to include an adhesion promoter in these compositions. U.S. Patent No. 5,212,214 describes the use of ceramic microspheres as a filler in an arylene sulfide coating composition. The resulting composition is said to improve the hardness, inertness, abrasion resistance and durability of the coating. No film formers appear to be present.

Accordingly, to achieve the advantages discussed above, among others, the present invention relates to a nail coating composition which comprises, in a cosmetically acceptable medium, microspheres and at least one film-forming substance. The present invention also relates to a nail coating composition for enhancing the gloss of a nail enamel top coat, where the composition contains microspheres of average diameter ranging from about 1 to about 12 microns, with a median diameter of less than 10 microns, and at least one film-forming substance. Also, the present invention also relates to a nail coating composition for filling ridges in or smoothing out a nail surface, where the composition contains microspheres of average diameter ranging from about 1 to about 40 microns, with a median diameter ranging from 20 to 35 microns, and at least one film-forming substance, as well as to a nail coating composition for providing texture or a matte finish to a nail surface, where the composition contains microspheres of average diameter ranging from about 50 to

about 150 microns, with a median diameter of greater than 60 microns, and at least one film-forming substance.

In addition, the present invention is drawn to a method for enhancing the gloss of a nail coating composition by applying to a nail a base coat composition containing microspheres having an average diameter ranging from about 1 to about 12 microns, with a median diameter of less than 10 microns, and then applying a nail enamel top coat. Another object of the present invention is a method for filling ridges in or smoothing out a nail surface by applying to the nail surface a nail coating composition containing microspheres of average diameter ranging from about 1 to about 40 microns, with a median diameter ranging from 20 to 35 microns, and to a method for providing texture or a matte finish to a nail surface by applying to the nail surface a nail coating composition containing microspheres with an average diameter ranging from about 50 to about 150 microns, with a median diameter of greater than 60 microns. Finally, the present invention is drawn to a method for protecting a nail surface by applying to the nail surface a nail coating composition which comprises, in a cosmetically acceptable medium, microspheres and at least one film-forming substance

Additional objects and advantages of the invention will be set forth in part in the description which follows, and in part will be apparent from the description, or may be learned by practice of the invention. The objects and advantages of the invention will be realized and attained by means of the elements and combinations particularly pointed out in the appended claims.

Reference will now be made in detail to various embodiments of the present invention.

The presently claimed invention is drawn to a nail coating composition which comprises, in a cosmetically acceptable medium, microspheres and at least one film-forming substance. In one embodiment of the invention, the microspheres are included in the inventive nail coating composition in a concentration of about 0.05% to about 15% by weight relative to the total weight of the composition. In another embodiment of the invention, the microspheres are included in the nail coating composition in an amount ranging from about 0.1% to about 6% by weight relative to the total weight.

Microspheres useful in the present invention include these of glass, metal, ceramic and/or polymeric, and may be either hollow or solid or both.

When ceramic microspheres are used, they are preferably composed of hollow, spherically-shaped ceramic particles. The spherically-shaped ceramic particles are typically composed of from about 45 parts to about 60 parts by weight silica ( $\text{SiO}_2$ ), from about 25 parts to about 38 parts by weight alumina ( $\text{Al}_2\text{O}_3$ ) and up to about ten parts by weight ferric oxide ( $\text{Fe}_2\text{O}_3$ ). The particle sizes can range from about 1 to about 300 microns, with a distribution that can range from less than about 1 micron to about 12 microns, to about less than 100 microns to about 350 microns. The size and particle size distribution are dependent on the intended final use. For example, in nail coatings, the microspheres generally have an average diameter of from about 1 micron to about 200 microns and a particle size distribution of 90% below 150 microns. Examples of commercially available ceramic microspheres suitable for use in the inventive nail enamel composition include, but are not limited to, ZEEOSPHERES™ from 3M and Zeelan Industries, Saint Paul, MN, EXTENDOSPHERES™ from the PQ Corporation, Valley Forge, PA, and Bionic Bubbles from Sphere Services Inc., Oak Ridge, TN.

The microspheres according to the present invention can range in size and are generally categorized as small, medium and large. In one embodiment of the invention, small microspheres, i.e., those having an average diameter ranging from about 1 to about 12 microns, with a median diameter of less than 10 microns, are included in the nail coating composition of the invention, resulting in improved gloss, wear enhancement, and resistance to chipping. These "small" microspheres are available, e.g., as W210 ZEEOSPHERES™ from 3M/Zeelan Industries. In another embodiment of the present invention, a composition comprising these small microspheres can be used as a base coat that enhances gloss when combined with, for example, a colored nail enamel top coat. In yet another embodiment of the invention, medium microspheres, i.e., those having an average diameter ranging from about 1 to about 40 microns, with a median diameter of ranging from 20 to 35 microns, such as W610 ZEEOSPHERES™ from 3M/Zeelan Industries, are included in the inventive composition to fill in ridges in the nails. In a further embodiment of the present

invention, large microspheres, i.e., having an average diameter of about 50 to about 150 microns, with a median diameter of greater than 60 microns, such as W1012 Z-LIGHT SPHERESTM from 3M/Zeelan Industries, are included in the nail composition of the invention and provide texture and/or a matte finish to the nail.

The true density range of the microspheres can vary from about 0.125 g/cc to about 2.4 g/cc. The preferred range depends on the desired effect: For example, for a suede look in a colored nail enamel composition, the preferred range is from about 0.5 g/cc to about 0.9 g/cc. For a filler product or a clear gloss product, it is preferred to use a higher density. The preferred ranges for a filler can be from about 1.5g/cc up to about 2.5 g/cc.

Film-forming substances useful in the nail coating compositions according to the invention include, but are not limited to cellulose acetate, cellulose acetate butyrate, ethyl cellulose, vinyl polymers, nitrocellulose or its derivatives, acrylics or urethanes. Preferably, the film-forming substance is nitrocellulose, which provides a good combination of toughness, durability and solubility properties. Different grades of nitrocellulose with varying viscosities are available and can be purchased, for example, from Hercules, Inc. or Bergerac.

The film-forming substance described above, referred to below as the "primary film-former," is generally in the claimed composition in a concentration sufficient to provide good coverage and sufficient film strength to the nail coating composition. Thus, the primary film-formers are generally present in an amount of from about 5% to about 20%, preferably about 10% to about 14%, by weight of the total composition. Typically, if the primary film-formers are present in concentrations much higher than this, the film may become inflexible and subject to cracking or peeling. In some embodiments, however, such as those comprising the aqueous dispersion described in U.S. Patent 5,538,717, discussed in greater detail below, the amount of primary film-formers can range higher than 20%, from about 3% to about 50% by weight of the total composition.

A secondary film-former may also be present in the nail coating composition of the invention. The purpose of the secondary film former is generally to strengthen the primary film-former and to improve the adhesion characteristics of the coating

composition. Secondary film-formers which may be used in the present invention include, for example, alkyd resins, polyvinyl acetate, polyester resins, acrylic and methacrylic polymers and copolymers, polyurethane resins, epoxy resins, tosylamide epoxy resins, and resins resulting from the condensation of formaldehyde with an arylsulphonamide such as toluene sulphonamide formaldehyde resin which results from the condensation of formaldehyde and toluene sulphonamide. Polyvinyl derivatives such as polyvinylbutyral as well as the copolymers described in French Patent Applications Nos. 80.07328, 81.03199 and 88.08172 may also be used as the secondary film-forming polymer. Vinyl silicone copolymers such as those described in U.S. Patent 5,032,460 or in international application WO93/23009 can be advantageously used in blends with other film-formers. These blends of film-forming polymers can be used as the secondary film-formers and may give additional properties of flexibility to the coating composition. Blends of polyurethane and acrylic resin, such as those described in European patent application EP 391 322, can also be used; however this blend may only yield intermediate properties and may not bring out the best qualities of the individual film-forming polymers. The secondary film-formers may be present in the nail coating composition in an amount ranging from about 0.1% to about 25% by weight of the composition and preferably from about 8% to about 15% by weight of the composition.

In addition to the film-formers, one skilled in the art may add at least one plasticizer to the nail coating composition of the invention for the purposes of softening and plasticizing the film-formers in order to provide better flexibility. Examples of useful plasticizers include tricresyl phosphate, benzyl benzoate, tributyl phosphate, butyl acetylricinoleate, glyceryl acetylricinoleate, dibutyl phthalate, butyl glycolate, dioctyl phthalate, butyl stearate, tributoxyethyl phosphate, triphenyl phosphate, triethyl citrate, tributyl acetylcitrate, 2-triethylhexyl acetylcitrate, dibutyl tartrate, dimethoxyethyl phthalate, diisobutyl phthalate, diamyl phthalate, camphor, glycerol triacetate, glycerol tribenzoate and mixtures thereof. The amount of plasticizer used in the inventive nail coating composition may range from about 0.5% to about 18% and preferably from about 5% to about 10% by weight relative to the total weight of the composition.



Examples of cosmetically acceptable mediums which may be used in the present invention include, but are not limited to, alkyl acetates such as methyl, ethyl, propyl or amyl acetates; alcohols such as ethyl, isopropyl, n-butyl alcohols; short chain alkanes such as pentane, cyclopentane, hexane, cyclohexane, heptane; chlorinated mediums such as methylene chloride, chloroform or methylchloroform; non-chlorinated mediums such as toluene or N-methyl-pyrrolidone; cellosolve and derivatives such as cellosolve butyl acetate, cellosolve acetate, butyl cellosolve, or ethyl cellosolve; cyclic ethers such as tetrahydrofuran or 1,4-dioxane. In one embodiment, the medium used is alkyl acetate with the alkyl portion bearing 1 to about 4 carbons. The medium or mixture of mediums may be present in the inventive composition in an amount of from about 30% to about 80% by weight relative to the total weight of the composition and more preferably from about 65% to about 78% by weight relative to the total weight of the composition.

In one embodiment of the invention, the nail coating compositions of the invention may be in the form of a mixture of microspheres and an aqueous dispersion of at least one film-forming substance. Useful aqueous dispersions of this type are set forth in U.S. Patent 5,538,717, which relates to aqueous nail polishes containing, as film-forming substance, dispersions of polyester-polyurethane or polyether-polyurethane particles such as those formerly known by the trade name SANCURE<sup>TM</sup> and sold by the Sannor Company, now known as AVALURE<sup>TM</sup> and sold by BF Goodrich. Examples of such dispersions include, but are not limited to, SANCURE 2060<sup>TM</sup> (polyester-polyurethane), SANCURE 815<sup>TM</sup> (polyester-polyurethane, now AVALURE 405<sup>TM</sup>), SANCURE 878<sup>TM</sup> (polyether-polyurethane), and SANCURE 861<sup>TM</sup> (polyether-polyurethane). Also useful is a polyether-polyurethane dispersion sold by the ICI Company under the tradename NEOREZ R974<sup>TM</sup>. Other film-forming substances which can be used in the present invention in the form of an aqueous dispersion include acrylic emulsions and polyurethanes. In this embodiment of the invention, comprising an aqueous dispersion of the primary film forming substance, the at least one film-forming substance is present in the composition in an amount of about 3% to about 50%.

In the case of water-based nail coating compositions, the spreading characteristics of the pigments may be improved by the use of perfluoroalkyl surfactants such as those described in U.S. Patent 5,571,858.

When a colored nail enamel or nail polish is desired, the nail coating composition of the present invention preferably contains at least one coloring agent. Conventional coloring agents can be used, including, for example, inorganic pigments such as titanium dioxide, iron oxides, titanated mica, iron oxide coated mica, ultramarine, chromium oxide, chromium hydroxide, manganese violet, bismuth oxychloride or guanine; and organic coloring agents such as ferric ferrocyanide, and D&C Red Nos. 6, 7, 34, Blue No. 1, Violet No. 2 and Yellow No. 5. The inorganic pigments may be surface treated as is customary to prevent migration or striation. Silicones and polyethylenes are most often used as the coatings for inorganic pigments and are preferred according to the present invention. Colorant materials in the nail composition may also include chips or powder of mica, diamonds, silver, aluminum, or bronze. Also useful are specialty materials giving rise to two-tone color effects, sometimes known as color flops, such as liquid crystal silicones or multi-lamellar metallic particulates, which generally can be mixed with pigments or dyes to obtain a broader spectrum of brilliant color and increased luminous reflectance. Such materials are described in, e.g., U.S. Patent No. 3,438,796; U.S. Patent No. 4,410,570; US Patent No. 4,434,010; U.S. Patent No. 4,838,648; U.S. Patent No. 4,930,866; US Patent No. 5,171,363; U.S. Patent No. 5,364,467; U.S. Patent No. 5,569,535; US Patent No. 5,607,904; U.S. Patent No. 5,624,486; U.S. Patent No. 5,658,976; US Patent No. 5,688,494; U.S. Patent No. 5,766,335; N. Häberle et al., "Right and Left Circular Polarizing Color Filters made from Crosslinkable Cholesteric LC-Silicones," Conference record of the 1991 International Display Research Conference (IEEE), pp. 57-59; R. Maurer et al., "Polarizing Color Filters made from Cholesteric LC-Silicones," SID 90 Digest (1990), pp. 110-113; H. J. Eberle et al., "Inverse Angle Dependence of the Reflection Colours of Cholesteric Polymeric Liquid Crystals mixed with Pigments," Liquid Crystals, 5(3), (1989), pp. 907-916; J. Pinsl et al., "Liquid Crystalline Polysiloxanes for Optical Once-Write Storage." J. Molec. Electr., Vol.3 (1987), pp. 9-13; and D. Makow, "Reflection and Transmission of Polymer Liquid-

Crystal Coatings and their Applications to Decorative Arts and Stained Glass, " Color Res. Applic. Vol. 11, No. 3, (1986), pp. 205-208, all of which are incorporated herein by reference in their entirety. In one embodiment, each coloring agent is present in the nail coating composition in an amount up to about 6% by weight relative to the total weight of the composition.

The nail enamel compositions of the invention may also contain a thixotropic agent or a sedimentation retardant to thicken the composition, allow better spreading on the nail and suspend the colorant. Conventional agents of this type are silicon dioxide containing compounds such as colloidal silicic acid and clays such as stearalkonium hectorite, stearalkonium bentonite or mixtures thereof. Other useful thixotropes include urea-modified thixotrope agents such as those described in U.S. Patent 4,314,924. One such thixotrope is available from BYK-Chemie under the trade name BYK-410. Most of the mediums used in nail enamel compositions cause these clays to swell, thus providing a gel with good thixotropic properties, i.e., rendering the composition capable of passing from a gelled state to a liquid state simply by stirring and from liquid to gel after standing. A composition containing such a gel thus exhibits relatively good dispersion stability without sedimentation or separation over a long period of time. The thixotrope is present in the nail enamel composition in an amount sufficient to produce a colloidal gel. For example, the thixotrope may be present in an amount of from about 0.05% to about 15% of the weight of the total composition. Preferably, the thixotrope is present in an amount of from about 0.5% to about 5% of the weight of the total composition.

In addition to the above mentioned components, the composition according to the invention may also include additives recognized by a person skilled in the art as being capable of incorporation into such a composition. For example, the composition may include at least one cosmetically active compound, which may be selected from vitamins, minerals, moisturizers, antioxidants, stimulants, protectors, hardening agents such as silica and formaldehyde/glyoxal, and UV absorbers. Any art recognized UV absorber can be used, both organic and inorganic. Additional ingredients may include anti-foaming or anti-bubbling agents, keratin and its derivatives, melanin, collagen, cystine, chitosan and its derivatives, ceramides, oligoelements, protein hydrolysates,

and phospholipids. Further, the inventive composition may also include a fast drying promoting agent.

A person skilled in the art can, without undue experimentation, select those optional additional compounds and/or their quantity, so that the advantageous properties of the composition according to the invention are not, or are not substantially, impaired by the inclusion of such additives.

The compositions of the present invention can be manufactured by thorough mixing together of all the ingredients in the amounts described in the present invention. A person skilled in the nail enamel art would readily know of satisfactory equipment with which to do so.

Another aspect of the claimed invention is a method for enhancing the gloss of a nail coating composition by applying to a nail surface a base coat composition containing microspheres with average diameter ranging from about 1 to about 12 microns, with a median diameter of less than 10 microns. When another nail composition (e.g., a top colored enamel coat) is applied on top of the base coat of the invention, the gloss is very high. Wear and chipping resistance are also improved.

Yet another aspect of the present invention is a method for smoothing out a nail surface or filling in ridges on a nail surface by applying to the nail surface a nail composition according to the invention, wherein the microspheres range in average diameter from about 1 to about 40 microns, with a median diameter of ranging from 20 to 35 microns. The products of such methods may be sold, for example, as "ridge-filling" compositions. In a further aspect, the present invention is drawn to a method for providing texture or a matte finish to a nail surface, by applying to the nail surface a nail coating composition comprising microspheres having an average diameter ranging from about 50 to about 150 microns, with a median diameter of greater than 60 microns, and at least one film-forming substance. Finally, the present invention is also drawn to a method of protecting a nail surface by applying to the nail surface a nail composition of the invention.

The examples set forth below are intended to be illustrative but not limiting.

## EXAMPLES

Example 1

The following product was prepared as a colored, textured nail enamel composition

<u>Ingredients</u>	<u>% w/w</u>
Ethyl acetate	33.86
Butyl acetate	15.75
Nitrocellulose	10.59
Isopropyl alcohol	7.36
Heptane	4.96
Propyl acetate	4.95
Polyester resin <sup>a</sup>	4.58
Dibutyl phthalate	4.75
Butyl alcohol	1.41
Camphor	1.41
Stearalkonium hectorite	0.47
Vinyl silicone copolymer <sup>b</sup>	0.47
Etocrylene	0.47
Benzophenone-1	0.37
Dimethicone (350 centistokes)	0.10
Guanine	0.17
Bismuth oxychloride	0.48
Ferric ammonium ferrocyanide	0.05
D & C Red #7 calcium lake	0.10
Aluminum	1.70
W1012 Z-LIGHT SPHERESTM <sup>c</sup>	<u>6.00</u>
	100.00

<sup>a</sup>: UNIPLEX 670-P from Unitek Co.

<sup>b</sup>: Vinyl silicone copolymer VS-80 from Minnesota Mining and Manufacturing

<sup>c</sup>: W1012 Z-LIGHT SPHERESTM have a true density of 0.7 g/cc and the particle size distribution is from about 50 microns to about 105 microns, i.e., 10% of the particles have a particle size less than 50, 50% have a particle size less than 60, and 90% have a particle size less than 95 microns.

Example 2

The following colorless product was prepared and was used as a matte nail ridge filler:

<u>Ingredients</u>	<u>% w/w</u>
Ethyl acetate	32.95
Butyl acetate	15.75
Nitrocellulose	10.86
Isopropyl alcohol	7.36
Propyl acetate	4.95
Polyester resin <sup>a</sup>	4.58
Dibutyl phthalate	4.75
Butyl alcohol	1.41
Camphor	1.41
Stearalkonium hectorite	0.47
Vinyl silicone copolymer <sup>b</sup>	0.47
Etocrylene	0.47
Benzophenone-1	0.37
Dimethicone 350 centistokes	0.10
Acrylic resin	5.00
Titanium dioxide	1.00
Panthenol solution <sup>c</sup>	4.00
Teflon <sup>®</sup> solution	0.10
W610 ZEEOSPHERES <sup>TM</sup> <sup>d</sup>	<u>1.00</u>
	100.00

<sup>a</sup>: UNIPLEX 670-P from Unitex Co.

<sup>b</sup>: Vinyl silicone copolymer VS-80 from Minnesota Mining and Manufacturing Co.

<sup>c</sup>: Panthenol is in solution in a blend of ethyl acetate, heptane and alcohol

<sup>d</sup>: W610 ZEEOSPHERES<sup>TM</sup> have a true density of 2.4 g/cc and the particle size distribution is from about 1 micron to about 40 microns (325 mesh), i.e., 10% of the particles have a particle size less than 1, 50% have a particle size less than 10, and 95% have a particle size less than 28 microns.

Example 3

The following colorless product was prepared and was used as a glossy base and top coat which provided abrasion resistance.

<u>Ingredients</u>	<u>% w/w</u>
Ethyl acetate	35.46
Butyl acetate	16.75
Nitrocellulose	15.25
Isopropyl alcohol	9.60
Propyl acetate	4.88
Polyester resin <sup>a</sup>	4.58
Dibutyl phthalate	2.00
Butyl alcohol	1.41
Camphor	1.41
Stearalkonium hectorite	0.15
Vinyl silicone copolymer <sup>b</sup>	0.47
Etocrylene	0.47
Benzophenone-1	0.37
Dimethicone (350 centistokes)	0.10
Acrylic resin <sup>c</sup>	5.00
Titanium dioxide	1.00
D & C Violet #2 solution	0.10
W210 ZEEOSPHERES <sup>TM</sup> <sup>d</sup>	<u>1.00</u>
	100.00

<sup>a</sup>: UNIPLEX 670-P from Unitex Co.

<sup>b</sup>: Vinyl silicone copolymer VS-80 from Minnesota Mining and Manufacturing

<sup>c</sup>: Rohm & Haas B66

<sup>d</sup>: W210 ZEEOSPHERES<sup>TM</sup> have a true density of 2.4 g/cc and the particle size distribution is from about 1 micron to about 12 microns i.e., 10% of the particles have a particle size of less than 1, 50% have a particle size of less than 3, and 95% have a particle size of less than 11 microns.

Example 4

The following water-based textured nail enamel composition was prepared using an aqueous dispersion of polyurethane as the film-forming substance and medium:

<u>Ingredients</u>	<u>% w/w</u>
Ethyl alcohol	2.730
De-ionized water	12.480
Sodium Magnesium Silicate	1.230
Diazolinyl Urea	0.272
Sodium Methyl Paraben	0.362
Polyester-Polyurethane Dispersion (35% dispersion)	73.202
Dimethicone Copolyol	0.456
Tetra Sodium Pyrophosphate	0.268
Red Pigment Dispersion	3.000
W1012 Z-LIGHT SPHERESTM	<u>6.000</u>
	100.000

Example 5

The following colored, glossy nail coating composition was prepared:

Cosmetically Acceptable Medium(s)	48.80
Plasticizers	18.00
Acrylics	4.50
Nitrocellulose	12.50
Zeeospheres™ W210	0.50
UV absorbers	0.50
Bentone Gel	12.00
Pigments	<u>3.20</u>
	100.00

Example 6

The following colored, glossy nail coating composition was prepared:

Cosmetically Acceptable Medium(s)	35.80
Nitrocellulose	17.29
Plasticizers	15.50
Acrylics	3.50
Polyesters	2.16
Tosylamide Epoxy	5.50
UV Absorbers	0.50
Bentone Gel	14.00
W210 Zeeospheres™	0.50
Silicone polymer	0.50
Mica	1.00
Pigments	<u>4.75</u>
	100.00



Example 7

The following colored, glossy nail coating composition was prepared:

Cosmetically Acceptable Medium(s)	41.70
Plasticizer	8.20
Epoxy	5.00
Nitrocellulose	15.80
Tosylamide Formaldehyde	10.00
PTFE	0.10
Bentone Gel	12.00
W210 Zeeospheres™	0.20
Pigments and Micas	<u>7.00</u>
	100.00

Example 8

The following glossy base coat composition was prepared:

Plasticizer	7.80
Nitrocellulose	13.34
Epoxy	2.70
Acrylic	4.51
Polyester	2.69
Bentone Gel.	12.00
Pantenol Sol.	4.00
UV Abs.	0.50
Protein Sol.	0.01
Zeeospheres™ W210	1.00
Cosmetically Acceptable Medium(s)	<u>51.45</u>
	100.00

Gloss readings of products with 3 mil (0.003 inch) film thickness (form 5C-opacity Leneta card) were taken to evaluate the brilliance enhancement of any colored nail enamel when base coats containing Zeeospheres™ W210 were used. Specifically, gloss readings of 3 mil thick base coats, 3 mil thick film of colored nail enamel by itself, 3 mil thick film of colored nail enamel on top of glossy base coat, and 3 mil thick film of colored nail enamel on top of a base coat containing W210 Zeeospheres™ were taken.

## WE CLAIM:

1. A nail coating composition comprising, in a cosmetically acceptable medium, microspheres and at least one film-forming substance.
2. A nail coating composition according to claim 1, wherein said microspheres are present in an amount of from about 0.05% to about 15% by weight of the total composition.
3. A nail coating composition according to claim 2, wherein said microspheres are present in an amount of from about 0.1% to about 6% by weight of the total composition.
4. A nail coating composition according to claim 1, wherein said microspheres have an average diameter from about 1 micron to about 200 microns.
5. A nail coating composition according to claim 1, wherein said microspheres have a particle size distribution of 90% below 150 microns.
6. A nail coating composition according to claim 1, wherein said microspheres are chosen from hollow microspheres and solid microspheres.
7. A nail coating composition according to claim 1, wherein said microspheres are chosen from ceramic microspheres, glass microspheres, polymeric microspheres, and metal microspheres.
8. A nail coating composition according to claim 1, wherein said at least one film-forming substance is chosen from cellulose acetate, cellulose acetate butyrate, ethyl cellulose, vinyl polymers, nitrocellulose, nitrocellulose derivatives, acrylics, and urethanes.
9. A nail coating composition according to claim 1, wherein said at least one film-forming substance is present in an amount of from about 5% to about 20%, relative to the total weight of the composition.
10. A nail coating composition according to claim 1, said composition further comprising at least one plasticizer.
11. A nail coating composition according to claim 1, said composition further comprising at least one additional film-forming substance.
12. A nail coating composition according to claim 1, said composition further comprising at least one coloring agent.

13. A nail coating composition according to claim 1, said composition further comprising at least one thixotropic agent.

14. A nail coating composition according to claim 1, said composition further comprising at least one fast drying promoting agent.

15. A method for enhancing the gloss of a nail coating composition, said method comprising applying to a nail surface a base coat composition comprising microspheres having an average diameter ranging from about 1 to about 12 microns, with a median diameter of less than 10 microns, and at least one film-forming substance, and then applying a nail enamel top coat.

16. A method according to claim 15, wherein said nail enamel top coat comprises a coloring agent.

17. A method for filling ridges in or smoothing out a nail surface, said method comprising applying to said nail surface a nail coating composition comprising microspheres having an average diameter ranging from about 1 to about 40 microns, with a median diameter ranging from 20 to 35 microns, and at least one film-forming substance.

18. A method for providing texture or a matte finish to a nail surface, said method comprising applying to said nail surface a nail coating composition comprising microspheres having an average diameter ranging from about 50 to about 150 microns, with a median diameter of greater than 60 microns, and at least one film-forming substance.

19. A method for protecting a nail surface, said method comprising applying to said nail surface a nail coating composition which comprises, in a cosmetically acceptable medium, microspheres and at least one film-forming substance

20. A nail coating composition for enhancing the gloss of a nail enamel top coat, wherein said composition contains microspheres of average diameter ranging from about 1 to about 12 microns, with a median diameter of less than 10 microns, and at least one film-forming substance.

21. A nail coating composition for filling ridges in or smoothing out a nail surface, wherein said composition contains microspheres of average diameter ranging

from about 1 to about 40 microns, with a median diameter of ranging from 20 to 35 microns, and at least one film-forming substance.

22. A nail coating composition for providing texture or a matte finish to a nail surface, wherein the composition contains microspheres of average diameter ranging from about 50 to about 150 microns, with a median diameter of greater than 60 microns, and at least one film-forming substance.

23. A nail coating composition comprising a mixture of microspheres and an aqueous dispersion of at least one film-forming substance.

24. A nail coating composition according to claim 23, wherein said at least one film-forming substance is present in an amount of about 3% to about 50% relative to the total weight of the composition.

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**NAIL COATING COMPOSITIONS CONTAINING MICROSPHERES**

the specification of which:

is attached hereto; or

was filed as United States Application Serial No. \_\_\_\_\_  
on July 3, 2000, and was amended on \_\_\_\_\_  
(if applicable); or

was filed as PCT International Application Number PCT/US99/25984  
on November 5, 1999 and was amended on \_\_\_\_\_  
(if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT international application(s), designating at least one country other than the United States, listed below and have also identified below any foreign application(s) for patent or inventor's certificate, or any PCT international application(s) having a filing date before that of the application(s) of which priority is claimed:

Country	Application Number	Date of Filing	Priority Claimed Under 35 U.S.C. 119
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Number	Date of Filing


I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or § 365(c) of any PCT international application(s) designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application(s) in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

Application Number	Date of Filing	Status (Patented, Pending, Abandoned)
09/186,372	November 5, 1998	Pending

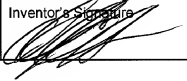
I hereby appoint the following attorney and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. **FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P., Reg. No. 22,540**, Douglas B. Henderson, Reg. No. 20,291; Ford F. Farabow, Jr., Reg. No. 20,630; Arthur S. Garrett, Reg. No. 20,338; Donald R. Dunner, Reg. No. 19,073; Brian G. Brunsvold, Reg. No. 22,593; Tipton D. Jennings IV, Reg. No. 20,645; Jerry D. Voight, Reg. No. 23,020; Laurence R. Hefter, Reg. No. 20,827; Kenneth E. Payne, Reg. No. 23,098; Herbert H. Mintz, Reg. No. 26,691; C. Larry O'Rourke, Reg. No. 26,014; Albert J. Santorelli, Reg. No. 22,610; Michael C. Elmer, Reg. No. 25,857; Richard H. Smith, Reg. No. 20,609; Stephen L. Peterson, Reg. No. 26,325; John M. Romary, Reg. No. 26,331; Bruce C. Zotter, Reg. No. 27,680; Dennis P. O'Reilly, Reg. No. 27,932; Allen M. Sokal, Reg. No. 26,695; Robert D. Bajesky, Reg. No. 25,387; Richard L. Stroup, Reg. No. 28,478; David W. Hill, Reg. No. 28,220; Thomas L. Irving, Reg. No. 28,619; Charles E. Lipsey, Reg. No. 28,165; Thomas W. Winland, Reg. No. 27,605; Basil J. Lewis, Reg. No. 28,818; Martin I. Fuchs, Reg. No. 28,508; E. Robert Yoches, Reg. No. 30,120; Barry W. Graham, Reg. No. 29,924; Susan Haberman Griffen, Reg. No. 30,907; Richard B. Racine, Reg. No. 30,415; Thomas H. Jenkins, Reg. No. 30,857; Robert E. Converse, Jr., Reg. No. 27,432; Clair X. Mullen, Jr., Reg. No. 20,348; Christopher P. Foley, Reg. No. 31,354; John C. Paul, Reg. No. 30,413; David M. Kelly, Reg. No. 30,953; Kenneth J. Meyers, Reg. No. 25,146; Carol P. Einaudi, Reg. No. 32,220; Walter Y. Boyd, Jr., Reg. No. 31,738; Steven M. Anzalone, Reg. No. 32,095; Jean B. Fordis, Reg. No. 32,984; Barbara C. McCurdy, Reg. No. 32,426; James K. Hammond, Reg. No. 31,964; Richard V. Burgujian, Reg. No. 31,744; J. Michael Jakes, Reg. No. 32,824; Dirk D. Thomas, Reg. No. 32,600; Thomas W. Banks, Reg. No. 32,749; Christopher P. Isaac, Reg. No. 32,646; Bryan C. Diner, Reg. No. 32,409; M. Paul Barker, Reg. No. 32,013; Andrew Chanho Sonu, Reg. No. 33,457; David S. Forman, Reg. No. 33,694; Vincent P. Kovalick, Reg. No. 32,867; James W. Edmondson, Reg. No. 33,871; Michael R. McGurk, Reg. No. 32,045; Joann M. Neth, Reg. No. 36,363; Gerson S. Panitch, Reg. No. 33,751; Cheri M. Taylor, Reg. No. 33,246; Charles E. Van Horn, Reg. No. 40,266; and Linda A. Wadler, Reg. No. 33,218; Jeffrey A. Berkowitz, Reg. No. 36,743; Michael R. Kelly, Reg. No. 33,921; James B. Monroe, Reg. No. 33,971; Doris Johnson Hines, Reg. No. 34,629; Allen R. Jensen, Reg. No. 28,224; Lori Ann Johnson, Reg. No. 34,498; and David A. Manspeizer, Reg. No. 37,540 and \_\_\_\_\_ Please address all correspondence to **FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.**, 1300 I Street, N.W., Washington, D.C. 20005, Telephone No. (202) 408-4000.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

1a

<b>Full Name of First Inventor</b>	<b>Inventor's Signature</b>	<b>Date</b>
Danuvio CARRION		8/8/00
<b>Residence</b>	<b>Country of Citizenship</b>	
Fords, NJ <i>NS</i>	United States of America	
<b>Post Office Address</b>		
48 Maple Avenue, Fords, NJ 08863		

2a

<b>Full Name of Second Inventor</b>	<b>Inventor's Signature</b>	<b>Date</b>
Debra J. COLEMAN-NALLY		8/8/00
<b>Residence</b>	<b>Country of Citizenship</b>	
Neshanic, NJ <i>NS</i>	United States of America	
<b>Post Office Address</b>		
434 Conover Drive, Neshanic, NJ 08853		